

IDAHO DEPARTMENT OF FINANCE

Securities Bureau
700 W. State St., 2nd Fl., Boise, ID 83702
P.O. Box 83720, Boise, ID 83720-0031
Website: finance.idaho.gov
Fax: 208/332-8099
208/332-8004

SCOR/U-7 FILING REQUIREMENTS

The following must be submitted directly to the Department of Finance, Securities Bureau for initial registration:

Fee: \$300 (check or money order payable to the Idaho Department of Finance)

Form U-7 Form U-1

Consent to Service of Process (Form U-2)

Form D

Agent of Issuer Application (unless selling through an Idaho registered broker-dealer)

Coordinated Review-SCOR-West (optional)

Issuers are required to renew their securities registrations if they intend to continue selling securities to Idaho residents after the initial registration period. Initial registration expiration is one year from the date of approval of registration.

The following must be submitted directly to the Department of Finance, Securities Bureau for registration renewal before the initial registration expiration:

A cover letter requesting renewal

Fee: \$300

Also required:

Material changes amendments

Letter of termination upon completion of the registration (including date of completion and number and amount of registered securities sold in Idaho).

[SEE: Idaho Code § 30-14-304 and Rule 20.02 pursuant to the Uniform Securities Act (2004)]

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Amended 9/04